

The Compliance *Review*

UNDP Social and Environmental
Compliance Unit Newsletter

Summer/Fall 2015, Issue 1



Introduction

Welcome to the first issue of “The Compliance Review”, an occasional newsletter from UNDP’s Social and Environmental Compliance Unit. This newsletter will serve as a way to keep the broader community involved and up to date on SECU’s activities, progress, and current status of investigations. SECU officially launched on January 1, 2015 in conjunction with the implementation of UNDP’s Social and Environmental Standards (SES). SECU is housed within UNDP’s independent Office of Audit and Investigations and serves as UNDP’s compliance review mechanism to investigate claims from the public of UNDP’s non-compliance with the SES and other social and environmental commitments.



From left to right: Rachael, Paul, Richard and Anne

The SECU Staff Team

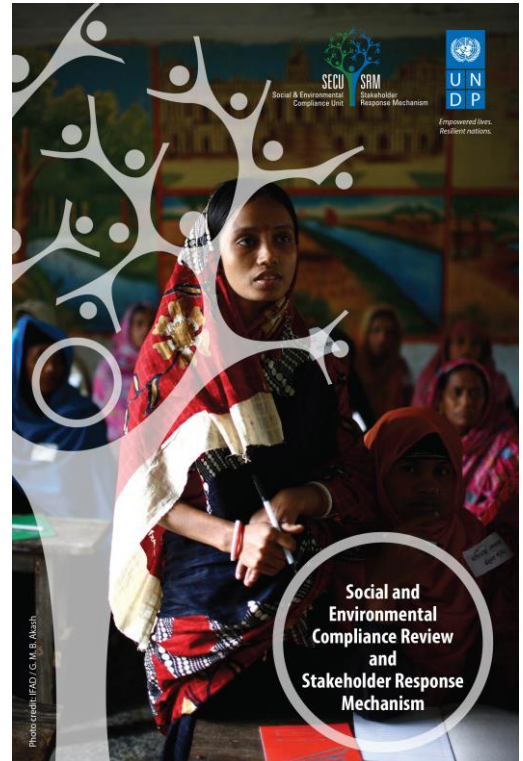
When you contact SECU, you may encounter any member of an outstanding team. Richard Bissell serves as SECU’s Lead Compliance Officer, having 30 years of experience leading social and environmental accountability mechanisms at the World Bank, the Asian Development Bank and the African Development Bank. Anne Perrault has been SECU’s Compliance Officer for a few years, after having been the director of the international financial institutions and biodiversity programs at the Center for International Environmental Law for 13 years. Paul Goodwin is SECU’s Legal and Policy Analyst and has been with SECU for two years since graduating from Berkeley Law School in 2013. For the summer, we have Rachael Quah as an Intern, a graduate student at New York University who is studying International Relations.

Roster of Expert Consultants

SECU recently underwent a global recruitment process to build a roster of expert consultants to participate in compliance reviews of projects. One roster includes technical, regional, and linguistic experts. The other is comprised of individuals with extensive experience leading compliance reviews, including investigation planning, fact gathering and analysis, report-drafting, and crafting policy recommendations as a result of an investigation. These experts will ensure investigations with independence and expert judgment of the merits of the concerns about the project.

Case Management System

SECU and UNDP’s dispute resolution unit, the Stakeholder Response Mechanism, are installing a sophisticated complaints intake and case management system. The system offers a multi-lingual hotline, multi-lingual web intake forms, and a task-based case management system that allows us to track and manage cases from around the world. Individuals and communities can file requests in any language which is then automatically translated into English. The system will ensure confidentiality for complainants where it is requested, and serve as the data repository to inform the public through SECU’s registry of cases. This system will allow the public to have an easy, accessible, and accountable way to submit SES-related complaints and inquiries to the UNDP.



[SECU/SRM Brochure](#)

RELEVANT WEBLINKS

To learn more about SECU and UNDP Social and Environmental Standards, please visit:

SECU Webpage:

www.undp.org/secu

UNDP Social and Environmental Accountability Mechanism:

www.undp.org/secu-srm

CONTACT INFORMATION

To reach us directly, you may email secuhotline@undp.org